

012304

16569 U.S. PTO

Please type a plus sign (+) inside this box →

+ PTO/SB/05 (08-00)

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	032528.04
	First Named Inventor or Application identifier	Haberland
ADDRESS TO: Commissioner of Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450	Title	TROCAR HAVING PLANAR FIXED SEPTUM SEAL AND RELATED METHODS
	Express Mail No.	EV 342456837 US

19270 U.S. PTO
10/763762

012304

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 26]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 9]
5. ☐ Oath or Declaration [Total Pages:]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with No. 17 completed)
6. ☐ [Note No. 6 below]
DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
Incorporation By Reference (useable if No. 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under No. 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement (IDS)/PTO-1449
_ Copy of IDS Citations
15. ☐ Preliminary Amendment
16. ☐ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
18. ☐ Other:

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

<input type="checkbox"/> Continuation	of prior application No.:
<input type="checkbox"/> Divisional	Prior Application Information:
<input type="checkbox"/> Continuation-in-part (CIP)	Examiner: _____ Group Art Unit: _____

20. Correspondence Address					
X Customer Number or Bar Code Label:			X Correspondence address below		
Customer No. 33940					
Name	Attention: Jeffrey S. Whittle Bracewell & Patterson, L.L.P.				
Address	P. O. Box 61389				
City	Houston	State	Texas	Zip Code	77208-1389
Country	U.S.A.	Telephone	(713) 223-2900	Fax	(713) 221-1212

Submitted by:


Jeffrey S. Whittle, Reg. No. 36,382

Date: January 23, 2004

16569 U.S. PTO

FEE TRANSMITTAL FOR FY 2004

PTO/SB/17(1-03)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Haberland
Examiner Name	
Group/Art Unit	
Attorney Docket No.	032528.04

■ Applicant claims small entity status. See 37 CFR 1.27

Total Amount of Payment **\$726.00**

METHOD OF PAYMENT

1. ☒ Payment enclosed:

☒ check ☐ Credit Card ☐ Money Order ☐ Other

2. ☒ The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No.: 50-0259

Deposit Account Name: Bracewell & Patterson, LLP

☒ Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17

☒ Applicant claims small entity status. See 37 CFR § 1.27

FEE CALCULATION**1. Basic Filing Fee**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$770	\$385	Utility Filing Fee	\$385
\$340	\$170	Design Filing Fee	\$
\$530	\$265	Plant Filing Fee	\$
\$770	\$385	Reissue Filing Fee	\$
\$160	\$80	Provisional Filing Fee	\$
Subtotal (1)			\$

2. Extra Claim Fees

		Extra	Fee (below)	Fee Paid
Total	34-20** =	14	X \$9	\$126
Indep.	8-3** =	5	X \$43	\$215

Multiple Dependent

** or number previously paid, if greater. For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
\$18	\$9	Claims in excess of 20
\$86	\$43	Independent claims in excess of 3
\$290	\$145	Multiple dependent claim, if not paid
\$86	\$43	**Reissue independent claims over original patent
\$18	\$9	**Reissue claims in excess of 20 and over original patent

Subtotal (2) \$341

FEE CALCULATION (continued)**3. Additional Fees**

Large Entity	Small Entity	Fee Description	Fee Paid
130	65	Surcharge - late fee or oath	\$
50	25	Surcharge - late provisional filing fee or cover sheet	\$
130	130	Non-English specification	\$
2,520	2,520	Request for Reexamination	\$
920*	920*	Requesting publication of SIR prior to Examiner action	\$
1840*	1,840*	Requesting publication of SIR after examiner action	\$
110	55	Extension for reply within first month	\$
420	210	Extension for reply within second month	\$
950	475	Extension for reply within third month	\$
1,480	740	Extension for reply within fourth month	\$
2,010	1,005	Extension for reply within fifth month	\$
330	165	Notice of Appeal	\$
330	165	Filing a brief in support of an appeal	\$
290	145	Request for oral hearing	\$
1,510	1,510	Petition to institute a public use proceeding	\$
110	55	Petition to revive - unavoidable	\$
1,330	665	Petition to revive - unintentional	\$
1,330	665	Utility issue (or reissue)	\$
480	240	Design issue fee	\$
640	320	Plant reissue fee	\$
130	130	Petitions to the Commissioner	\$
50	50	Proceeding fee under 37 CFR 1.17(q)	\$
180	180	Submission of Information Disclosure Statement	\$
40	40	Recording each patent assignment per property (times number of properties)	\$
770	385	Filing a submission after final rejections (37 CFR § 1.129(a))	\$
770	385	For each additional invention to be examined (37 CFR § 1.129(b))	\$
770	385	Request for Continued Examination (RCE)	\$
900	900	Request for expedited examination of a design application	\$

Other fee (specify): _____ \$

*Reduced by Basic Filing Fee Paid

Subtotal (3) \$

Submitted by: Jeffrey S. Whittle

Bracewell & Patterson, L.L.P.

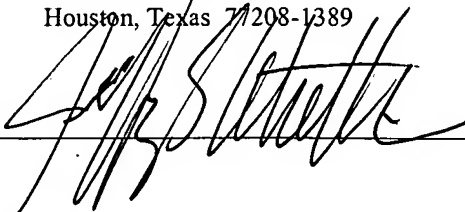
P.O. Box 61389

Houston, Texas 77208-1389

Registration No. 36,382

Telephone: 713/221-1185

Fax: 713/221-2141

Signature: 

Date: January 23, 2004

CERTIFICATE OF MAILING 37 C.F.R. 1.10

EXPRESS MAIL NO. EV 342456837 OS

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope on the date indicated, addressed to the Commissioner for Patents, Mail Stop: Patent Application, PO Box 1450, Alexandria, VA 22312-1450.

January 23, 2004

Date

Amy Lewis-Wallace

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Haberland et al.

Serial No.:

Filed: herewith

For: **TROCAR HAVING PLANAR FIXED
SEPTUM SEAL AND RELATED
METHODS**

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Art Unit:

Examiner:

Docket No. 032528.04

TRANSMITTAL SHEET

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22312-1450

Dear Sir:

Transmitted herewith for filing are the following documents:

- Patent Application Transmittal and Fee Transmittal;
- Patent Application;
- Drawings (9 pages);
- Check in the amount of \$726.00;
- Postcard, which we would appreciate your date stamping and returning to us upon receipt.

I hereby authorize the Assistant Commissioner to charge any additional fees, which may be required, or credit any overpayment to Bracewell & Patterson, L.L.P.'s Deposit Account No. 50-0259 (Atty Docket 032528.04).

Respectfully submitted,

Date: January 23, 2004

BRACEWELL & PATTERSON, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389
(713) 221-1185
(713) 221-2141 (fax)

Jeffrey S. Whittle, Reg. No. 36,382